

Legal Entity Migration & Risk Governance Programme at a Leading Investment Bank



CLIENT CHALLENGE

A major UK investment bank needed to transition trading activities into a new legal entity post-Brexit under FSMA 2000 Part VII.

The challenge was delivering a compliant migration while mitigating operational, legal, and counterparty risk — all under strict regulatory oversight and compressed timelines.



OUR DELIVERY

F2B deployed a Senior Programme Manager to drive front-office migration governance:

- Led execution of counterparty onboarding, documentation repapering, and transaction migration.
- Built a structured migration dashboard embedding risk metrics, counterparty tracking, and compliance status.



OUTCOMES DELIVERED

- ✓ Seamlessly transitioned trading books under FSMA Part VII without disruption.
- ✓ Embedded cross-functional risk and control frameworks across front office, legal, and compliance.
- ✓ Strengthened client communication protocols, covering pricing, collateral, and regulatory updates.
- ✓ Delivered real-time risk tracking dashboards supporting operational resilience and audit readiness.